

**A systematic review to evaluate the evidence base for the World Health Organization's adopted hand hygiene technique for reducing the microbial load on the hands of healthcare workers**

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**Title: A Systematic Review to evaluate the evidence base for the World Health Organization's adopted Hand Hygiene Technique for reducing the microbial load on the hands of Healthcare Workers**

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[Conflicts of interest: None to report](#)

**Background:** Effective hand hygiene prevents healthcare-associated infections. This systematic review evaluates the evidence for the World Health Organization's (WHO) technique, in reducing the microbial load on healthcare workers' (HCW) hands.

**Methods:** Conducted in accordance with Joanna Briggs Protocol 531. Index and free text terms for technique, HCW and microbial load were searched in CINAHL, Medline, Web of Science, Mednar, Proquest and Google scholar. Inclusion criteria were papers in English evaluating the WHO six-step hand hygiene technique in healthcare staff. Quality assessment and data extraction were independently performed by two reviewers.

**Results:** All seven studies found the WHO technique reduced bacterial load on HCW hands but the strongest evidence came from three randomized controlled trials, which provided conflicting evidence. One found no difference in the effectiveness of the WHO six-step compared to the Centers for Disease Control and Prevention's three-step technique ( $p=0.08$ ), while another found the WHO six-step more effective ( $p=0.02$ ) and the third that a modified three-step technique more effective than the six-step technique ( $p=0.021$ ).

**Conclusions:** This review provides evidence of effectiveness of the WHO technique but does not identify the most effective hand hygiene technique. Questions to be addressed by further research are identified. Current practice should continue meanwhile.

**Keywords:** hand hygiene; technique; systematic review; microbial load

**Disclosure statement:** No conflicts of interest

## Background

Hand hygiene represents a cornerstone of infection prevention. Whilst superficially at least it may be understood as a relatively simple procedure, its use in a range of real world settings highlights a surprising degree of complexity. The importance of hand hygiene in saving patient lives was first demonstrated in 1847.<sup>1</sup> Since that time research has continued to focus upon understanding hand hygiene; establishing links between the contamination of the hands of healthcare staff and problems concerning both epidemics and endemic healthcare-associated infections.<sup>2,3</sup> It is widely acknowledged that effective hand hygiene amongst healthcare staff is one of the most important infection prevention strategies available,<sup>4,5</sup> yet uncertainty remains concerning a range of issues relating to hand hygiene.<sup>1</sup>

One major issue relates to which technique to use when performing hand hygiene.<sup>5-10</sup> The WHO recommends<sup>1</sup> the adoption of a hand hygiene technique originally developed by Professor Graham Ayliffe in 1978.<sup>11</sup> This approach, called the WHO six-step technique in this review, focuses on the physical rubbing of specific areas of the hands and involves the following procedures: palm to palm friction; right palm over left dorsum with interlaced fingers and vice versa; palm to palm with fingers interlaced; back of fingers to opposing palms with fingers interlaced; rotational rubbing of left thumb clasped in right palm and vice versa; rotational rubbing backwards and forwards with clasped fingers of right hand in left palm and vice versa.<sup>1</sup>

This technique was originally developed to standardize testing of hand hygiene products not for performing hand hygiene in clinical practice.<sup>11</sup> It has now been adopted globally as the gold standard for hand hygiene<sup>12</sup> for use in clinical practice, albeit compliance is low.<sup>13-15</sup>

One possible way to increase compliance with the technique is to provide HCW with evidence of why it is important,<sup>16</sup> in this case, to decontaminate their hands using the recommended technique. In addition given the technique was devised for testing hand

hygiene products and not for use in clinical practice, a review providing this evidence would still be helpful. Thus, the objective of this systematic review was to evaluate the evidence for the WHO hand hygiene technique in reducing microbial load on the hands of HCW.

## **Methods**

Details of the study protocol, its inclusion criteria and the particularities of data analysis are documented in the protocol, which is registered with the Joanna Briggs Institute.<sup>17</sup>

### ***Inclusion criteria***

Studies were included if they named the WHO six-step technique or a variation of the technique; described the technique they used which was consistent with the WHO six-step technique or cited the WHO six-step technique in the methods. Inclusion criteria also included HCW performing either handrub or handwashing within any healthcare context, within any country.

### ***Exclusion criteria***

Studies based within operating theatres using surgical hand asepsis were excluded, as the hand hygiene technique and duration differs within this setting. Studies were also excluded if they were not specifically about hand hygiene technique but were investigating the efficacy of hand hygiene products or evaluating hand hygiene compliance. Studies not conducted with HCW were excluded as were those which were not primary research or which did not measure microbial load.

### ***Outcomes***

The primary outcome required in the reviewed studies was reduction in the microbial load of HCW hands following application of the aforementioned hand hygiene technique. Secondary outcomes were a measure of hand coverage and time of hand decontamination alongside, but not instead of, microbial load.

### ***Types of study***

The review considered randomized controlled trials (RCTs), non-randomized controlled trials, before and after studies, case control studies, cohort studies and observational descriptive studies to enable the identification of the current available evidence.

### ***Search strategy***

A three-step search strategy was employed. Keywords and index terms were searched in CINAHL, Medline, Proquest and Web of Knowledge databases. Advice was sought from a Librarian to ensure the development of a comprehensive search strategy using a combination of keywords and index terms. The full search for Medline (Appendix) was individualised for the other databases according to their functionality. The search strategy included papers published in the English language between 1978 (as this was the first date the authors were aware of the technique being used) and May 2017. Secondly, as keyword terms could not be combined in Mednar and Google Scholar, only the broadest keywords were searched in these databases. Finally, the reference lists of potential papers identified for possible inclusion in the review were searched.

### ***Study selection***

The titles and abstracts of the identified papers retrieved from the searches were stored and screened for relevance independently by two reviewers according to the inclusion criteria relating to study design, population, intervention and outcomes, as described above. Full text of papers, meeting the inclusion criteria from the title and abstract search, and those where there was insufficient evidence in the title and abstract to make a decision, were reviewed independently by two reviewers. Discrepancies were discussed and agreed between two reviewers.

### ***Quality assessment and data extraction***

Full text copies of included papers were independently reviewed by two reviewers to assess their quality. The reviewers used standardized critical appraisal checklists for descriptive/case series and experimental studies<sup>18</sup> as appropriate for the study designs.

Data were independently extracted by the two reviewers using an original comprehensive data extraction tool adapted by the authors from standardized data extraction tools.<sup>17,18</sup> The standardized tool was expanded to include details pertinent to the review such as identifying the detail of the participants, intervention delivery and outcomes required.

The results are presented in a narrative summary as meta-analysis was not appropriate due to substantial heterogeneity of the design and outcomes.

## **Results**

### ***Search results***

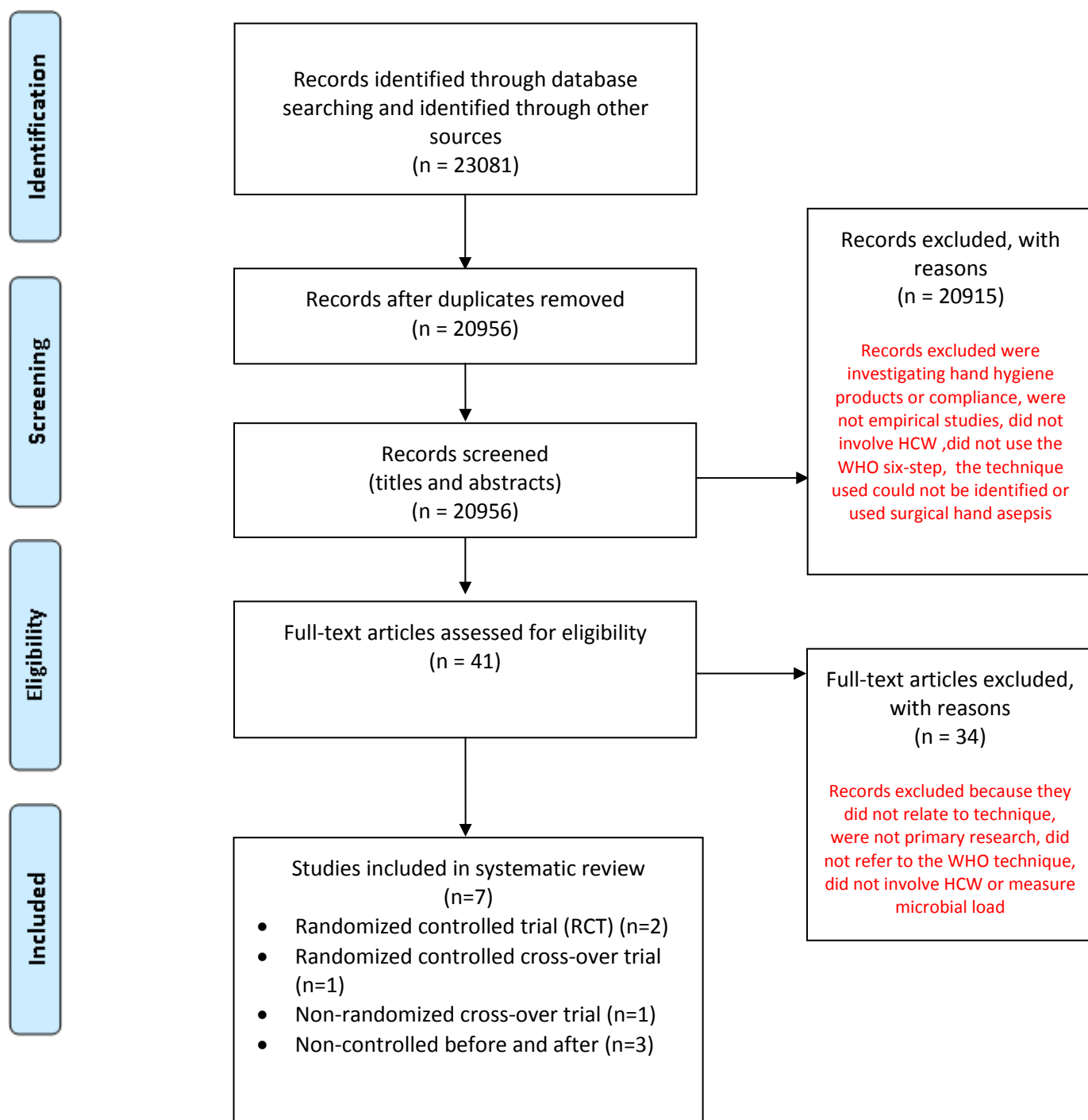
Figure 1 depicts the database search results. At stage one 23081 bibliographic records were identified through database searching, 2125 of these were duplicates, resulting in 20956 records being eligible for stage two of the process. Most of the records (n=20948) did not meet the inclusion criteria as they were not empirical studies, participants were not HCW, the aim of the studies was to test a product or to measure hand hygiene compliance, the hand hygiene technique used in the study was not the WHO six-step technique or the techniques used could not be identified..

As a result, seven papers were eligible for inclusion: Widmer *et al* (2007),<sup>19</sup> Laustsen *et al* (2008),<sup>7</sup> Tschudin-Sutter *et al* (2010),<sup>20</sup> Chow *et al* (2012),<sup>21</sup> Pires *et al* (2017),<sup>22</sup> Reilly *et al* (2016),<sup>23</sup> Tschudin-Sutter *et al* (2017).<sup>24</sup>

The characteristics of these studies are reported in table 1.



Figure I: PRISMA 2009 Flow Diagram<sup>25</sup>





1 Table 1 Characteristics of studies

Author Date Country	Aim	Design	Setting	Participants	Intervention(s)	Outcome measure(s)	Results
Chow <i>et al</i> (2012) <sup>21</sup> Singapore	1. To compare the efficacy of hand hygiene protocols, during routine inpatient clinical care 2. To evaluate the time effectiveness of each protocol	RCT	Adult, tertiary care general hospital	Medical & Nursing staff (n=120)  20 medical & 20 nursing staff to each of the three intervention groups	<u>Three intervention groups:</u> 1. Centers for Disease Control and Prevention's (CDC) three-step technique (handrubbing with alcohol covering all hand surfaces in no particular order) 2. WHO six-step technique (handrubbing with alcohol using the WHO technique)	<u>Primary outcome:</u> Colony forming units (CFU) using the modified glove juice technique of the dominant hand of each participant (1) after patient contact but before hand hygiene and (2) after hand hygiene  <u>Secondary outcome(s):</u> Mean time of each hand hygiene protocol	Overall, hand hygiene resulted in a substantial reduction in bacterial load of $77.65 \times 10^2$ CFU/ml ( $p < 0.01$ )  After adjusting for staff category compared with protocol 1, protocol 2 ( $-5.17 \times 10^2$ CFU/ml, $p = 0.07$ ) a resulted in slightly greater bacterial load reduction, however the differences between the two protocols were non- significant. Both protocols were effective in reducing hand bacterial load.  Protocol 1 required less time (median, 26.0 seconds) than protocol 2 (median 38.5 seconds; $p = 0.04$ )
Reilly <i>et al</i> (2016) <sup>23</sup> Scotland	To evaluate the microbiologic effectiveness of the WHO 6-step and the (CDC) 3-step hand hygiene techniques using alcohol-based handrub	RCT	Acute care inner city teaching hospital	Medical and nursing staff (n=120) Doctors (n=42) and nurses (n=78)	<u>Two intervention groups:</u> 1. WHO six-step technique 2. CDC three-step technique	<u>Primary outcomes:</u> CFU (residual bacterial load) using the modified glove juice technique of each participant (1) after patient contact but beforehand hygiene and (2) after hand hygiene  <u>Secondary outcomes:</u> Compliance with the technique	The 6-step technique reduced the count from 3.28 CFU/mL (95% CI, 3.11–3.38 CFU/mL) to 2.58 CFU/mL (2.08–2.93 CFU/mL), whereas the 3-step reduced it from 3.08 CFU/mL (2.97–3.27 CFU/mL) to 2.88 CFU/mL ( $-2.58$ to 3.15 CFU/mL) ( $p = 0.02$ ).  Only 65% (n=39/60) were fully compliant with the WHO technique

						<p>Hand coverage</p> <p>Duration (seconds)</p>	<p>i.e. followed the instructions exactly.</p> <p>Among those fully compliant, the median bacterial load went from 3.18 (before) to 2.08 (after hand hygiene) log<sub>10</sub> CFU/mL, compared with 3.36 (before) to 2.55 (after hand hygiene) log<sub>10</sub> CFU/mL among those not fully compliant (p=0.01)</p> <p>No significant difference in total hand coverage between WHO (98.8%) vs CDC technique (99.0%, p=0.15)</p> <p>Percentage of hand area not covered WHO technique (Median 1.20) CDC technique (Median 102 p=0.97)</p> <p>The WHO technique required 15% (95% CI, 6-24%) more time than the CDC technique (42.5 vs 35 seconds, p=0.002)</p>
Tschudin-Sutter <i>et al.</i> (2017) <sup>24</sup> Switzerland	To assess a modified three-step technique and <u>compare</u> it to the conventional WHO six-step technique in terms of bacterial counts reduction on HCW hands	RCT (crossover)	University hospital	Medical students (n=32)	<p><u>Intervention group:</u> Modified three-step technique consisting of: a. covering all surfaces of the hands b. rotational rubbing of fingertips in the palm of the alternate hand c. rotational rubbing of both thumbs</p> <p><u>Control group:</u> WHO six-step technique</p>	<p><u>Primary outcome:</u> CFU using the modified glove juice technique</p>	<p><u>Pre hand hygiene:</u> Log bacterial counts did not differ between the control group (WHO technique) (median 6.37 log<sub>10</sub> CFU, IQR 6.19-6.54) and the intervention group (median 6.34 log<sub>10</sub> CFU, IQR 6.17-6.60, one-sided p=0.513)</p> <p><u>Post hand hygiene:</u> Reductions in CFU were evident for both intervention and control groups. There were lower bacterial counts in the intervention group (median 1.96 log<sub>10</sub> CFU, IQR 1.25-2.52) compared to control group (median 2.34 log<sub>10</sub></p>

							CFU, IQR 1.80-2.71, one-sided p=0.055) The logarithmic reduction factor was higher in the intervention group (median 4.45 log <sub>10</sub> CFU, IQR 4.04-5.15 versus 3.91 log <sub>10</sub> CFU, IQR 3.69-4.62, one-sided p-value=0.010, two-sided p-value 0.021)
Laustsen <i>et al.</i> (2008) <sup>7</sup> Denmark	To investigate the use of the correct application of WHO six-step technique before and after performance of a clinical procedure	Non-controlled before and after	University hospital	Staff members from 10 departments working during a randomly chosen weekday (n=117). Staff members with hand dermatitis were excluded (n=2)	WHO six-step technique before & after a clinical procedure)	<u>Primary outcome:</u> CFU from finger imprint technique of the dominant hand	<u>Before clinical procedure:</u> <b>Imprint 1-</b> before WHO technique <b>Imprint 2-</b> after WHO technique 56% (n=66/117) performed correct WHO technique <b>Correct use</b> of WHO six-step technique significantly reduced number of CFUs by 90% (from 18.1 CFU per plate [95% CI, 13.5–24.2] to 1.8 CFU per plate [95% CI, 1.1–2.7]; p<0.001) <b>Incorrect use</b> of WHO six-step technique significantly reduced number of CFUs by 60% (from 25.5 CFU per plate [95% CI, 18.4–35.1] to 10.2 CFU per plate [95% CI, 7.2–14.3]; p<0.001)  <u>After clinical procedure:</u> <b>Imprint 3-</b> before WHO technique <b>Imprint 4-</b> after WHO technique 58% (n=68/117) performed correct WHO handrub technique <b>Correct use</b> of WHO handrub technique significantly reduced the number of CFUs by 82% (from 10.0 CFU per plate [95% CI, 7.4–13.5] to 1.8 CFU per plate [95% CI, 1.1–2.7]; p<0.001) <b>Incorrect use</b> of WHO handrub

							technique significantly reduced the number of CFUs by 54% (from 16.3 CFU per plate [95% CI, 11.6–22.7] to 7.5 CFU per plate [95% CI, 5.2–10.7]; $p < 0.001$ )
Pires <i>et al.</i> (2017) <sup>22</sup> Switzerland	To evaluate whether modifying the sequence of the WHO technique by performing step 6 first would result in greater bacterial reduction on HCW hands	Non-randomized cross-over trial	University hospital	Healthcare workers (n=16) Nurses (n=7) and medical doctors/pharmacists/biologists (n=9)	<u>Two intervention groups:</u> 1. WHO six-step technique 2. Modified version, WHO “Fingertips First”	<u>Primary outcome:</u> CFU retrieved from finger imprint technique at baseline and after each of the 2 different techniques	Overall, the log <sub>10</sub> reduction in bacterial concentration was significantly higher when performing the WHO “Fingertips First” (3.44(±1.33, 3.20)) compared with the WHO six-step technique (2.68 (±1.48, 2.85))  After adjustment for hand size and gender, the mean reduction of bacterial concentration was 0.77 log <sub>10</sub> greater (95% CI, 0.27–1.26; $p = 0.002$ ) following the WHO “Fingertips First” technique than following the WHO six-step technique
Tschudin-Sutter <i>et al.</i> (2010) <sup>20</sup> Switzerland	To evaluate the level of bacterial killing on hands of medical students using the WHO technique	Non-controlled before and after	University	Medical students (n=563)	WHO six-step technique	<u>Primary outcome:</u> CFU from finger imprint technique before and after use of handrub	<u>Before WHO handrub technique:</u> Bacterial density was- 26-100 CFU per plate (n=259, 46%) >100 CFU per plate (n=207, 36.8%) <26 CFU per plate (n=97, 17.2%)  <u>After WHO handrub technique:</u> No detectable bacteria (n=244, 43.3%) <25 CFUs per plate (n=262, 46.5%) 25-100 CFUs per plate (n=45, 8%) <100CFUs per plate (n=12, 2.1%)  The difference in the density of CFUs before and after WHO handrub

						<p><u>Secondary outcome:</u> Hand coverage</p>	<p>technique was highly significant (p&lt;0.001)</p> <p>Not reported</p>
Widmer <i>et al.</i> (2007) <sup>19</sup> Switzerland	To evaluate the impact of WHO six-step technique	Non-controlled before and after	University-affiliated geriatric hospital	All physicians and 10 nurses per ward were selected by an infection control professional (n=180)	WHO six-step technique	<p><u>Primary outcome:</u> CFU from finger imprint technique</p> <p><u>Secondary outcome:</u> Hand coverage</p>	<p><u>Before training with WHO handrub technique:</u> Only 31% HCWs used proper technique, yielding a low reduction factor of 1.4 log<sub>10</sub> CFU bacterial count</p> <p><u>After training with WHO handrub technique:</u> Proper technique used by 74% of HCWs with an increased reduction factor to 2.2 log<sub>10</sub> CFU bacterial count</p> <p>Improvement in application of the technique improved the antimicrobial effect of the technique. (p&lt;0.001)</p> <p>Not reported</p>

According to the Cochrane Effective Practice and Organisation of Care (EPOC) study design criteria,<sup>26</sup> of the studies included, two were RCTs,<sup>21,23</sup> one was a randomized controlled cross-over trial,<sup>24</sup> three were controlled before and after studies<sup>7,19,20</sup> and one was a non-randomized cross-over trial.<sup>22</sup> All studies used alcohol-based handrub (ABHR) to investigate some aspect of the WHO six-step hand hygiene technique with the primary outcome of bacterial load on the hands of HCW measured in colony forming units (CFU). Secondary outcomes of time and hand coverage were assessed in two<sup>21,23</sup> and three<sup>19,20,23</sup> studies respectively. The settings for six studies were hospitals<sup>7,19,21-24</sup> with one being a University<sup>20</sup> and participants were doctors and nurses with two studies focusing solely on medical students.<sup>20,24</sup> Study outcomes and methodological quality of the RCTs and other study designs are subsequently discussed.

### *Primary outcomes*

#### *RCTs*

Tschudin-Sutter *et al* (2017),<sup>24</sup> Reilly *et al* (2016)<sup>23</sup> and Chow *et al* (2012),<sup>21</sup> all demonstrated a reduction in bacterial load following the application of the WHO six-step technique. However, findings were inconsistent. Chow *et al* 2012<sup>21</sup> found that the WHO six-step technique was no more effecting than covering all surfaces of the hands in no particular order (the Centers for Disease Control and Prevention's (CDC) three-step technique) (p=0.07). In contrast, Reilly *et al* (2016)<sup>23</sup> demonstrated that the WHO six-step technique was more effective than the CDC three-step technique (p=0.02). Similarly to Reilly *et al* (2016),<sup>23</sup> Tschudin-Sutter *et al* (2017)<sup>24</sup> also compared a three-step technique to the WHO six-step technique. This three-step technique was different from that used by Chow *et al* (2012)<sup>21</sup> in that it consisted of covering all surfaces of the hands, and in addition, rotational rubbing of fingertips in the palm of the alternate hand and rotational rubbing of both thumbs and was

found to be more effective at reducing bacterial load than the WHO six-step technique ( $p=0.021$ ).

#### *Other study designs*

Again, all studies showed a reduction in bacterial load on the hands of HCW using the WHO six-step technique. However, there were differences in findings across the studies. Tschudin-Sutter *et al* (2010)<sup>20</sup> demonstrated that the bacterial load on the hands of medical students was reduced after the use of the WHO six-step technique ( $p<0.001$ ). Laustsen *et al* (2008)<sup>7</sup> and Widmer *et al* (2007)<sup>19</sup> reported that when participants either performed the WHO six-step technique correctly, or incorrectly, there was a reduction in the bacterial load; however the correct application, as compared to the incorrect application of the technique revealed a greater reduction (Widmer  $p<0.001$ , Laustsen  $p$ =not reported). This finding was also supported by Reilly *et al* (2016)<sup>23</sup> who demonstrated a significant difference in those who had performed the WHO six-step technique with 100% accuracy when compared to those who had not ( $p=0.01$ ). Finally, Pires *et al* (2017)<sup>22</sup> reported that a modified “Fingertips first” WHO six-step technique had a greater reduction in bacterial load than the currently recommended WHO six-step technique ( $p=0.002$ ).

#### *Secondary outcomes all studies*

Secondary outcome measures were mean time of hand hygiene and hand coverage.

#### ***Median time of hand hygiene***

Chow *et al* (2012)<sup>21</sup> and Reilly *et al* (2016)<sup>23</sup> both monitored the median time for conducting hand hygiene using the WHO six-step and the CDC three-step techniques and reported a single median time for each respectively. In the study by Chow *et al* (2012),<sup>21</sup> despite the CDC three-step technique requiring significantly less time than the WHO six-step technique to complete ( $p=0.04$ ), it was still as effective in reducing bacterial load. In contrast, Reilly *et*

*al* (2016)<sup>23</sup> showed that the WHO six-step technique was more effective than the CDC three-step technique but agreed that it took longer to perform ( $p=0.002$ ).

### ***Hand coverage***

Widmer *et al* (2007)<sup>19</sup> and Tschudin-Sutter *et al* (2010)<sup>20</sup> examined hand coverage using a UV light box to detect areas missed on the hands following hand hygiene but did not report specific results on this. Reilly *et al* (2016)<sup>23</sup> also evaluated hand coverage and found that the WHO six-step technique did not increase the total hand coverage area ( $p=0.15$ ) and a reduction in bacterial count was not related to hand coverage ( $p=0.97$ ).

### ***Methodological quality of RCTs***

Chow *et al* (2012)<sup>21</sup> and Reilly *et al* (2016)<sup>23</sup> both used a parallel group, randomized controlled trial to compare the microbiological effectiveness of the WHO six-step and the simpler CDC three-step hand hygiene techniques. Both studies used pre-prepared sealed envelopes to allocate participants,<sup>21,23</sup> thereby reducing selection bias. The robustness of the studies were also enhanced through blinding of the microbiologists,<sup>21,23</sup> thereby reducing detection bias. However, the data collectors were not blinded to the allocated protocols in either study<sup>21,23</sup> because they timed the performance of the allocated hand hygiene technique and performed the glove juice technique for collection of the microbiological sample. They were however trained in the application of the glove juice technique and timing of the hand hygiene technique thereby enhancing reliability of the data collection methods,<sup>21,23</sup> but neither study tested the inter-rater reliability of the data collectors.

Tschudin-Sutter *et al* (2017)<sup>24</sup> performed a randomized cross-over trial to assess a modified three-step technique (intervention group) against the WHO six-step technique (WHO-reference group) in terms of bacterial load reduction. Participants were randomly assigned to each group and then assignments reversed after one day. Unlike the previous studies,<sup>21,23</sup> Tschudin-Sutter *et al* (2017)<sup>24</sup> did not mention blinding of the data collectors, who performed



the glove juice technique for the collection of the microbiological sample or if the data collectors had completed any training. It is difficult therefore to assess the reliability of the data collection process in this study. The studies by Chow *et al* (2012)<sup>21</sup> and Reilly *et al* (2016)<sup>23</sup> were powered and recruitment targets were achieved,<sup>21,23</sup> whilst Tschudin-Sutter *et al* (2017)<sup>24</sup> made no mention of this and so it is unknown whether their sample size was adequate.

All three studies used the modified glove juice sampling method.<sup>21,23,24</sup> Chow *et al* (2012)<sup>21</sup> state that this method provides a more accurate measurement of the actual bacterial burden which could be transferred via hand contact. However, it could be argued that the glove juice method will be measuring the reduction in resident as well as transient skin flora. Thus, although the RCTs using this method demonstrated reductions in CFU, they were not necessarily measuring reductions relevant to the transmission of infection in a clinical setting. Furthermore, the CFU detected after patient contact but pre hand hygiene would be affected by the number of transient organisms acquired during the clinical procedure(s). In addition, the glove juice sampling technique might have also removed some bacteria from participants' hands before ABHR was applied, thereby overestimating the bacterial reduction. This number will vary considerably and if the comparison of reduction outcomes is valid then evidence is required to show that there is a true random distribution of contamination density across the two groups. It is unknown whether this can be guaranteed in a relatively small sample of clinicians delivering different aspects of care and therefore this is a flaw of these study designs. There are also several other limitations of these studies. Unlike Reilly *et al* (2016),<sup>23</sup> Chow *et al* (2012)<sup>21</sup> were unable to ascertain whether specific areas of the hand had been missed by the hand hygiene techniques because they did not evaluate hand coverage and sites missed. Previous studies<sup>8,19</sup> have shown that the thumb and fingertips are the most frequently missed areas on the hands. In the study by Reilly *et al* (2016),<sup>23</sup> correlation

between bacterial reduction and hand surface coverage is also a limitation because these data were collected at two different time points. Therefore, Reilly *et al* (2016)<sup>23</sup> could not be certain that the technique was conducted by participants in exactly the same way each time, although standardization by showing each participant an instruction card with a diagram of the allocated technique should have helped to minimise the risk.

### ***Methodological quality of other study designs***

Four of the included studies were designs other than RCTs. The sample size in these studies ranged from 7-563.<sup>7, 19, 20, 22</sup> None of these studies mention if they were powered and so it is unknown whether their sample sizes were adequate for the analysis they performed. The sampling strategy varied in the different studies with three out of four studies<sup>19,20,22</sup> using a non-randomized strategy and thereby being prone to selection bias.

All four studies used a different sampling method, involving finger imprint technique, compared to the RCTs. A limitation of the finger imprint technique is it only allows bacterial measurement from the fingertips and as the study by Reilly *et al* (2016)<sup>23</sup> revealed, the back of the hands, the back of the thumbs and the back of the index fingers were the most frequently missed sites regardless of the technique used. However, it could be argued that the finger imprint technique is perhaps a more valid method of bacterial measurement in terms of transmission of infections because it solely removes transient organisms.

Finally only two of the four studies mentioned training data collectors.<sup>7,19</sup> In both of these studies a high inter-rater agreement was obtained prior to data collection, enhancing reliability in these two studies. However, despite this, these studies<sup>7,19,20</sup> had no control groups making it difficult to differentiate between the observed effect being due to the hand hygiene technique or other confounding variables, thereby affecting the validity of the outcomes.

## **Discussion**

Hand hygiene is described as the single most important intervention to reduce the risk of cross transmission of infection.<sup>1</sup> Despite this, to the authors' knowledge, this is the first systematic review to evaluate the evidence for the WHO technique reducing the microbial load on the hands of HCW. All seven included studies found the WHO six-step technique reduced bacterial load on the hands of HCW, however the strongest evidence came from three RCTs, which provided conflicting evidence. Chow *et al* (2012)<sup>21</sup> found no difference in the effectiveness of the WHO six-step compared to the CDC three-step technique, whilst in contrast, Reilly *et al* (2016)<sup>23</sup> found the WHO six-step to be more effective. Tschudin-Sutter *et al* (2017)<sup>24</sup> revealed that a modified three-step technique which focussed on the fingertips and thumbs was more effective than the WHO six-step technique. These three studies were all conducted in a hospital setting, therefore it should be noted that these findings are relevant to this particular healthcare setting. The remaining evidence comes from studies with poor quality research designs due to their lack of randomization and control groups, thus limited conclusions can be drawn from these studies.

Of particular note is Chow's *et al* (2012)<sup>21</sup> finding that coverage of all aspects of the hands was as effective as the WHO six-step technique and quicker. This also supports similar findings from the study by Tschudin-Sutter *et al* (2017)<sup>24</sup> and from an earlier study by Kampf *et al* (2008),<sup>8</sup> which was not included in this review as it included non-healthcare participants. However, the former study used a different technique from Chow *et al* (2012)<sup>21</sup> as it included fingertip and thumb rubbing steps. Having a simple and quick technique, effectively reducing key reported behavioural barriers,<sup>1</sup> could be important in clinical practice as it may increase compliance and potentially improve hand hygiene practice within the clinical setting, given that suboptimal rates of HCW compliance with the WHO six-step technique has been previously reported in studies worldwide.<sup>13-15</sup> However, there are limits to the amount of time that can be saved with different techniques, as according to current

understanding, when using ABHR the hands should be allowed to dry after performing ‘the technique’ and before proceeding.<sup>1</sup>

Interestingly Reilly et al (2016)<sup>23</sup> found that the efficacy of the WHO six-step technique to be enhanced when performed with 100% accuracy (correct steps, correct order), whereas Pires *et al* (2017),<sup>22</sup> showed that efficacy was enhanced for the WHO six-step technique when the order of performance of steps was changed with the finger tips, normally the last step, was performed first. This not only raises question about what technique is best but can the techniques be modified to enhanced their performance.

From the body of evidence as a whole, it is difficult to differentiate between the efficacy of the different hand hygiene techniques. In addition, potential confounding factors such as time taken to perform hand hygiene and accuracy in performance of the technique have not always been controlled for and may have influenced the results.

Historically, in infection prevention and control studies, the default research design has been observational studies; however, randomized controlled studies of hand hygiene technique as shown in this review are possible. The studies included provide some relevant and interesting findings that demonstrate that the technique reduces bacterial load on HCW hands but overall the level of evidence is low and generalizability of the findings is limited. They can however form the basis for further, more robust studies. It is therefore recommended that RCTs directly comparing the effectiveness of the different techniques are performed in clinical practice.

## **Conclusion**

### *Implications for Practice*

Although this review provides evidence supporting the use of the WHO six-step hand hygiene technique in clinical practice, it is evident that further research involving more robust

research designs requires to be undertaken to identify the best hand hygiene technique. Compliance with recommended hand hygiene is suboptimal and improving current techniques or inventing new ones may help to improve this. However, hand hygiene is an essential part of the recommendations in infection prevention and control measures and current practice should be maintained while such further evidence is gathered.

### *Implications for Research*

Further robust research, using well-designed RCTs which specifically focus on the different hand hygiene techniques is then required to determine which hand hygiene technique is the most effective and in what context. Bacterial load on the hands of healthcare staff before and after application of techniques during clinical practice in acute hospitals, controlling the time of application, product used and including inter-rater reliability testing of data collectors, blinding of microbiologists and adequate sample sizes to power the studies are all required. Randomization of the population should help to control for differences in participant's experience, previous training and expectations of the hand hygiene technique but reporting of these data will demonstrate if this has been achieved. The use of glove juice or finger imprint technique for the collection of microbiological samples appears to be open to debate. The European EN1500 guidelines,<sup>27</sup> recommend the finger imprint method, whilst in the USA the Food and Drug Administration<sup>28</sup> advise the glove juice method, when conducting experiments on hand hygiene. Secondary outcomes could include the reduction in the number and type of organism. With regard to performing hand hygiene systematic reviews our search retrieved a large number of papers that needed to be excluded because they were not empirical studies. We recommend that others performing similar searches include study design as one of the domains of their search. Finally, when reporting the findings of hand hygiene research this review has highlighted the need to include a thorough description of the

hand hygiene techniques, sampling strategy and the population/sample in the study, using reporting templates such as Consort,<sup>29</sup> Strobe<sup>30</sup> and Orion.<sup>31</sup>

This Review has contributed to illustrating the state of current evidence for the WHO hand hygiene technique in reducing microbial load on the hands of HCW. The findings provide direction for current practice and for further research. Hand hygiene research must continue to evolve to inform global action to prevent and control HAI and contain antimicrobial resistance.

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### Appendix

<b>Search Terms</b>	
<i>Context</i>	
1	(MH "Delivery of Health Care")
2	(Healthcare OR health care OR health): ti,ab
<i>Population</i>	
3	(MH "Health personnel") OR "personnel": ti,ab
4	(MH "Allied health personnel")
5	(MH "emergency medical technicians") OR "emergency medical technician*": ti,ab
6	(MH "nurses' aides+") OR "nurses' aides": ti,ab
7	(MH "nutritionists") OR nutritionists: ti,ab
8	(MH "operating room technicians") OR "operating room technician*": ti,ab
9	(MH "physical therapist assistants") OR "physical therapist*": ti,ab
10	(MH "physician assistants+") OR "physician assistant*": ti,ab
11	(MH "infection control practitioners") OR "infection control practitioner*": ti,ab
12	(MH "medical laboratory personnel")
13	(MH "medical staff+") OR "medical staff": ti,ab
14	(MH "nurses+") OR nurses: ti,ab
15	(MH "nursing staff+") OR "nursing staff": ti,ab
16	(MH "physicians+") OR physicians: ti,ab
17	(MH "social workers") OR "worker*": ti,ab
18	professional: ti,ab
19	employee: ti,ab
20	podiatrist*: ti,ab
21	"occupational therapist*": ti,ab
22	dietician*: ti,ab
23	radiographer*: ti,ab
24	medic*: ti, ab
25	physiotherapist*: ti,ab
26	"dialysis technician*": ti,ab
27	"dietetic technician*": ti,ab
28	"pharmacy technician*": ti,ab
29	"cardiopulmonary technician*": ti,ab
30	"cardiovascular technician*": ti,ab
31	"nuclear medicine technician*": ti,ab
32	"radiologic technologist*": ti,ab
33	"orthopedic technologist*": ti,ab
34	practitioner*: ti, ab
<i>Intervention</i>	
35	(MH "Hand Hygiene+") OR ("hand hygiene"): ti,ab
36	(MH "Hand Disinfection") OR ("hand disinfection"): ti,ab
37	(handwashing OR "hand washing"): ti,ab
38	("hand decontamination"): ti,ab
39	(handrub* OR "hand rub*"): ti,ab
40	("hand sanit*"): ti,ab

41	("hand clean*"): ti,ab
42	("hand asepsis"): ti,ab
43	("hand degerming"):ti, ab
44	("hand gel*"): ti,ab
45	("alcohol based hand rub*"): ti,ab
46	("alcohol based hand sanit*"): ti,ab
47	(ABHR OR ABHS): ti,ab
48	Ayliffe*: ti,ab
49	technique: ti,ab
50	procedure: ti,ab
51	approach:ti, ab
52	method*:ti, ab
53	practice*:ti, ab
54	guideline*:ti, ab
55	protocol*:ti, ab
56	recommendation*:ti, ab
57	("6 step*" OR "six step*"): ti,ab
58	("7 step*" OR "seven step*"): ti,ab
59	(WHO OR WHO's OR "world health organi?ation*"): ti,ab
<i>Primary Outcomes</i>	
60	(MH "colony count, microbial+") OR (MH "bacterial load")
61	"microbial count" OR "microbial load" OR "microbial contamination" OR "microbial coloni?ation": ti,ab
62	"colony count": ti,ab
63	"bacterial count" OR "bacterial load" OR "bacterial contamination" OR "bacterial coloni?ation": ti,ab
64	"antibacterial efficacy":ti, ab
65	"skin flora": ti,ab
66	microorganism* OR "micro organism*" OR microbes: ti,ab
67	(MH "Bacteria+")
68	(MH "Fungi+")
69	(MH "Infection+")
70	pathogen* OR bacteria OR virus*OR yeast* OR infection*: ti,ab
71	("colony forming units" OR cfu):ti, ab
<i>Secondary Outcomes</i>	
72	(MH "Disease transmission, infectious")
73	(MH "infectious disease transmission, professional-to-patient")
74	(MH "infection control")
75	(MH "disease outbreaks+")
76	(MH "mortality+")
77	"disease transmission" OR "infection control" OR "infection prevention" OR "cross transmission" OR "communicable disease control" OR "communicable disease prevention" OR "cross infection" OR nosocomia*: ti, ab
78	mortality OR fatalit*: ti, ab
79	outbreak*: ti, ab
80	compliance OR adherence: ti,ab
<i>Combining searches</i>	
81	1 OR 2

82	3 OR 4 OR 5 OR 6 OR 7 OR 8 OR 9 OR 10 OR 11 OR 12 OR 13 OR 14 OR 15 OR 16 OR 17 OR 18 OR 19 OR 20 OR 21 OR 22 OR 23 OR 24 OR 25 OR 26 OR 27 OR 28 OR 29 OR 30 OR 31 OR 32 OR 33 OR 34
83	35 OR 36 OR 37 OR 38 OR 39 OR 40 OR 41 OR 42 OR 43 OR 44 OR 45 OR 46 OR 47
84	48 OR 49 OR 50 OR 51 OR 52 OR 53 OR 54 OR 55 OR 56 OR 57 OR 58 OR 59
85	60 OR 61 OR 62 OR 63 OR 64 OR 65 OR 66 OR 67 OR 68 OR 69 OR 70 OR 71 OR 72 OR 73 OR 74 OR 75 OR 76 OR 77 OR 78 OR 79 OR 80
86	81 AND 82 AND 83 AND 84 AND 85
87	86 Limits: June2011-June2017, English language